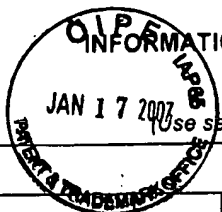



Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 084061-0544		SERIAL NO. 10/694,824		
				APPLICANT Antonio LAIN et al.				
				FILING DATE 10/29/2003		GROUP ART UNIT 2136		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
T-G	D1	6,236,971	05-2001	STEFIK et al.				
T-G	D2	2002/0031230	03-2002	SWEET et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
EXAMINER				DATE CONSIDERED				
				03/28/2007				
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## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200205659-2

APPLICATION NO.

10/694,824

CONFIRMATION NO.

APPLICANT

Antonio LAIN et al.

FILING DATE

10/29/2003

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

T-6	1Q	WALLNER et al., "Key Management for Multicast: Issues and Architectures" [online], 1999, IETF, available from: <a href="http://www.ietf.org/rfc/rfc2627.txt">http://www.ietf.org/rfc/rfc2627.txt</a> [accessed 8 June 2005].
	1R	
	1S	

EXAMINER

*D. Teehan*

DATE CONSIDERED

03/28/02

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: March 17, 2004  (use as many sheets as necessary)		Application Number	10/694,824
		Filing Date	10/29/2003
		First Named Inventor	Antonio LAIN
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet 1 of 1		Attorney Docket Number	200205659-2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
T-6	B1	GB	2 329 497	A	INTERNATIONAL BUSINESS MACHINES CORPORATION	03-24-1999		
T-6	B2	GB	2 355 905	A	AUTHORISZOR LIMITED	05-02-2001		
T-6	B3	WO	01/25882	A1	THE SECRETARY OF STATE FOR DEFENCE	04-12-2001		
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T-6	B5	JP	2000-286840	A	NEC CORP.	10-13-2000		Abs.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature	<i>J. Freeham</i>	Date Considered	03/28/2007
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<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: October 29, 2003

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	Unassigned 10 694 824
Filing Date	October 29, 2003
First Named Inventor	Antonio LAIN
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	200205659-2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
T-G	A1	6,301,658	B1	KOEHLER	10-09-2001	
T-G	A2	6,397,329	B1	AIELLO et al.	05-28-2002	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
T-G	A3	EP	1 282 262	A1	SONY CORPORATION	02-05-2003		
T-G	A4	WO	01/76133	A1	BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY	10-11-2001		
T-G	A5	WO	02/078419	A2	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.	10-10-2002		
T-G	A6	EP	1 235 381	A1	SONY CORPORATION	08-28-2002		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
T-G	A7	Fu-kuan TU et al., "ON KEY DISTRIBUTION MANAGEMENT FOR CONDITIONAL ACCESS SYSTEM ON PAY-TV SYSTEM", IEEE Transactions on Consumer Electronics, February 1999, pages 151-158, Vol. 45-No. 1.	

Examiner Signature

J. J. Geerhane

Date Considered

03/28/2007

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